



When to Complete a CMS-2728

There are three types of CMS-2728s: Initial, Supplemental, and Re-entitlement. This document will review how and when each of these forms should be completed and submitted to CMS and/or the Social Security Administration (SSA).

NOTE: Forms completed in CROWNWeb are not considered sent to CMS until they are in “Submitted” status.

Initial CMS-2728—should be done for New ESRD Patients (incident dialysis/transplant patients).

1. **Incident in-center hemodialysis patients:** dialysis units will complete sections **ABEF** and submit the form in CROWNWeb. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.
2. **Incident peritoneal/home dialysis patients:** dialysis units will complete sections **ABDEF** and submit the form in CROWNWeb. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.

Dialysis units: For incident patients that begin in-center hemodialysis and switch to a home modality within the first three months, please see the instructions for **Supplemental CMS-2728s** below.

3. **Incident transplant patients:** transplant units will complete sections **ACEF** and submit the form in CROWNWeb or to the Network 14 office. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.

Transplant units: For incident patients that receive transplants within the first three months of beginning dialysis, please see the instructions for **Supplemental CMS-2728s** below.

Supplemental CMS-2728—should be done for incident and returning dialysis patients when they switch to a home modality within the first three months of beginning or resuming dialysis (dialysis units) or if they receive transplants within the first three months of beginning or resuming dialysis (transplant units).

1. Dialysis units will complete section **D** for incident and returning patients and submit the form in CROWNWeb. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.
2. Transplant units will complete sections **ACEF** for incident dialysis and back-to-back transplant patients and submit the form in CROWNWeb or to the Network 14 office. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.

Re-entitlement CMS-2728—should be done for ESRD patients returning to dialysis after previously dialyzing or transplanting (dialysis units). These should be done for patients that are returning to dialysis from:

- **failed transplants more than 36 months after the transplants** (Dialysis After Transplant Failed events)
- **more than 12 months outside of an outpatient setting** (Restart events)
 - dialyzing after a Recover Function event from 12 or more months ago
 - dialyzing after a Transfer Out event from 12 or more months ago
 - dialyzing after a Discontinue event from 12 or more months ago
 - dialyzing after a Lost to Follow Up/Other event from 12 or more months ago

Re-entitlement CMS-2728s should be done for patients receiving back-to-back transplants when more than 36 months pass between transplants (transplant units).

1. **Returning in-center hemodialysis patients:** dialysis units will complete sections **ABEF** and submit the form in CROWNWeb. NOTE: Section C is auto-populated from any transplant information in CROWNWeb. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.
2. **Returning peritoneal/home dialysis patients:** dialysis units will complete sections **ABDEF** and submit the form in CROWNWeb. NOTE: Section C is auto-populated from any transplant information in CROWNWeb. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.

Dialysis units: For re-entitlement patients that begin in-center hemodialysis and switch to a home modality within the first three months, please see the instructions for **Supplemental CMS-2728s** above.

3. **Back-to-back transplant patients (>36 months apart):** transplant units will complete sections **ACEF** and submit the form in CROWNWeb or to the Network 14 office. An original copy (meaning a copy signed by the physician in **blue ink**) should be sent to SSA.

Transplant units: For re-entitlement patients that receive transplants within the first three months of resuming dialysis, please see the instructions for **Supplemental CMS-2728s** above.

If you have any questions regarding when to complete a CMS-2728, please feel free to contact the Information Management Department at 972-503-3215 or crownweb@nw14.esrd.net. **DO NOT** email patient information to the Network office.